

# Lattice Quantum Chromodynamic for Mathematicians

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*Tutorial in*  
*“Derivatives, Finite Differences and*  
*Geometry”*

Comparison of Chemistry & QCD : K. Wilson (1989 Capri):

“ **lattice gauge theory could also require a 10<sup>8</sup> increase in computer power AND spectacular algorithmic advances before useful interactions with experiment ...** ”

• **ab initio Chemistry**

1. 1930+50 = 1980
2. 0.1 flops → 10 Mflops
3. Gaussian Basis functions



• **ab initio QCD**

1. 1980 + 50 = 2030?\*
2. 10 Mflops → 1000 Tflops
3. Clever Multi-scale Variable?

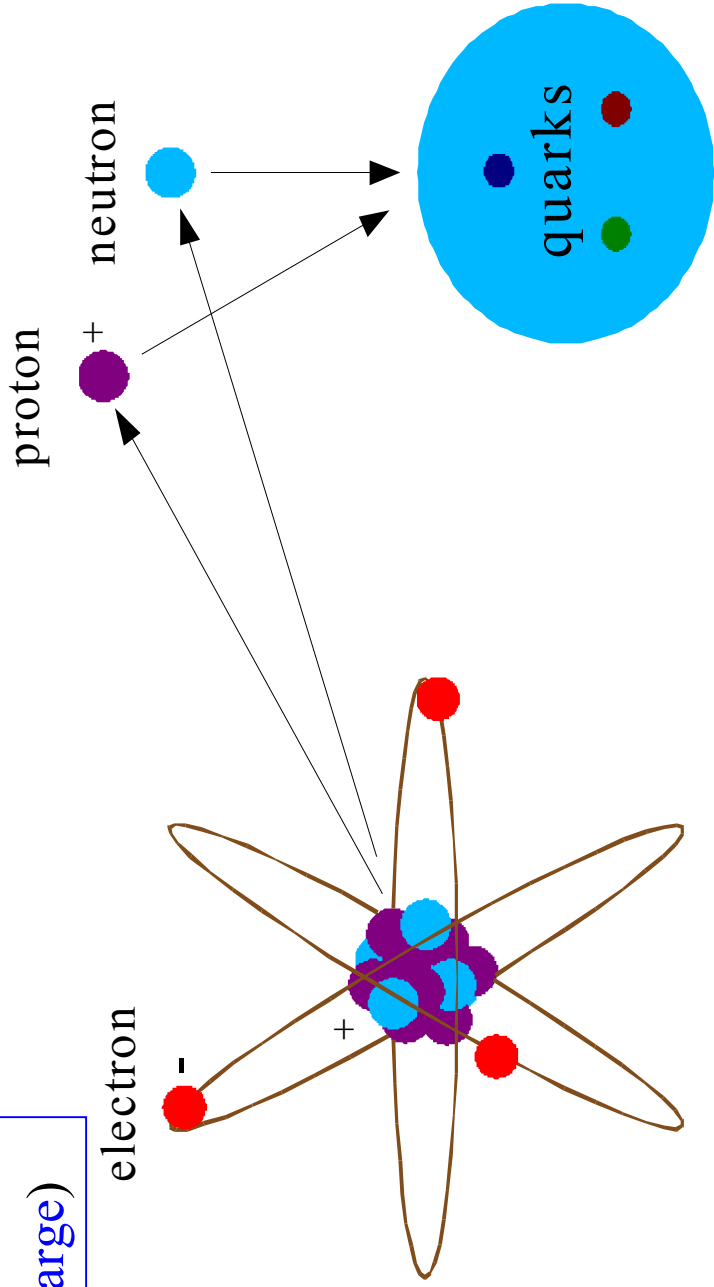
“Almost 20 Years ahead of schedule!”

\*Fast Computers + Smart Algorithms + Rigorous QCD Theoretical Analysis  
= ab initio predictions

# Forces in Standard Model

Atoms: Maxwell  
N=1 (charge)

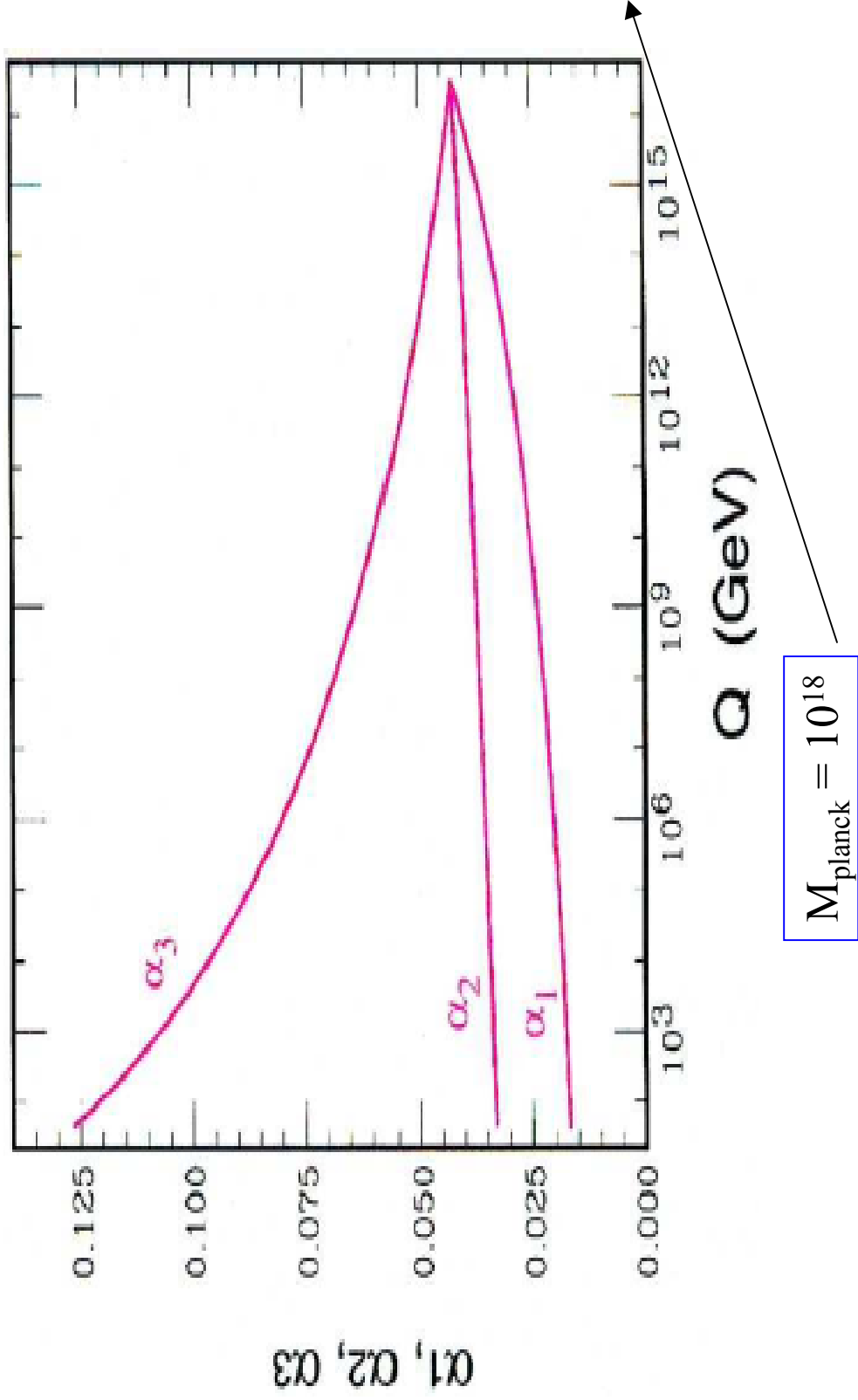
Nuclei Weak  
N=2 (Isospin)



Sub nuclear: Strong  
N=3 (Color)

Standard Model: U(1) £ SU(2) £ SU(3)

# Running Coupling Unification

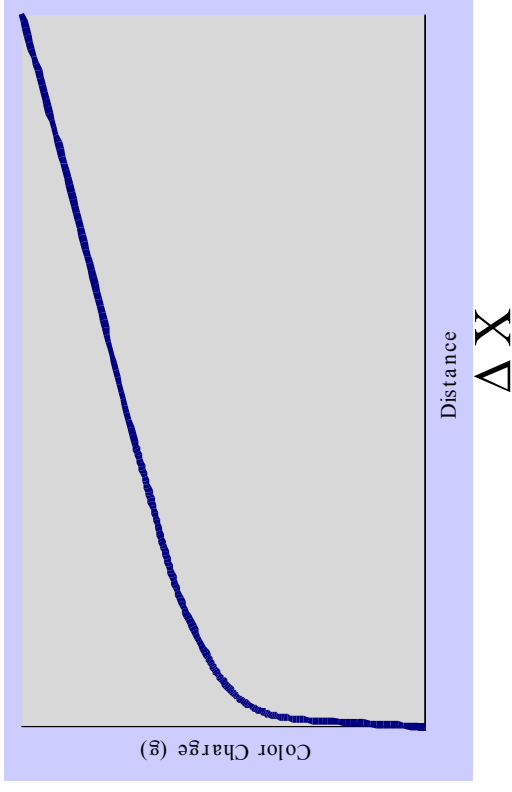


# But QCD has charged Quarks and Gluons

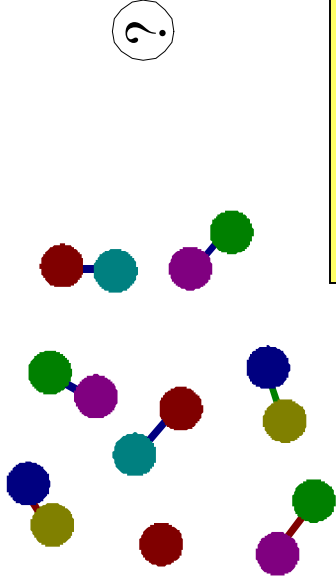
Quark-Antiquarks polarize just like  $e^+ - e^-$  pairs



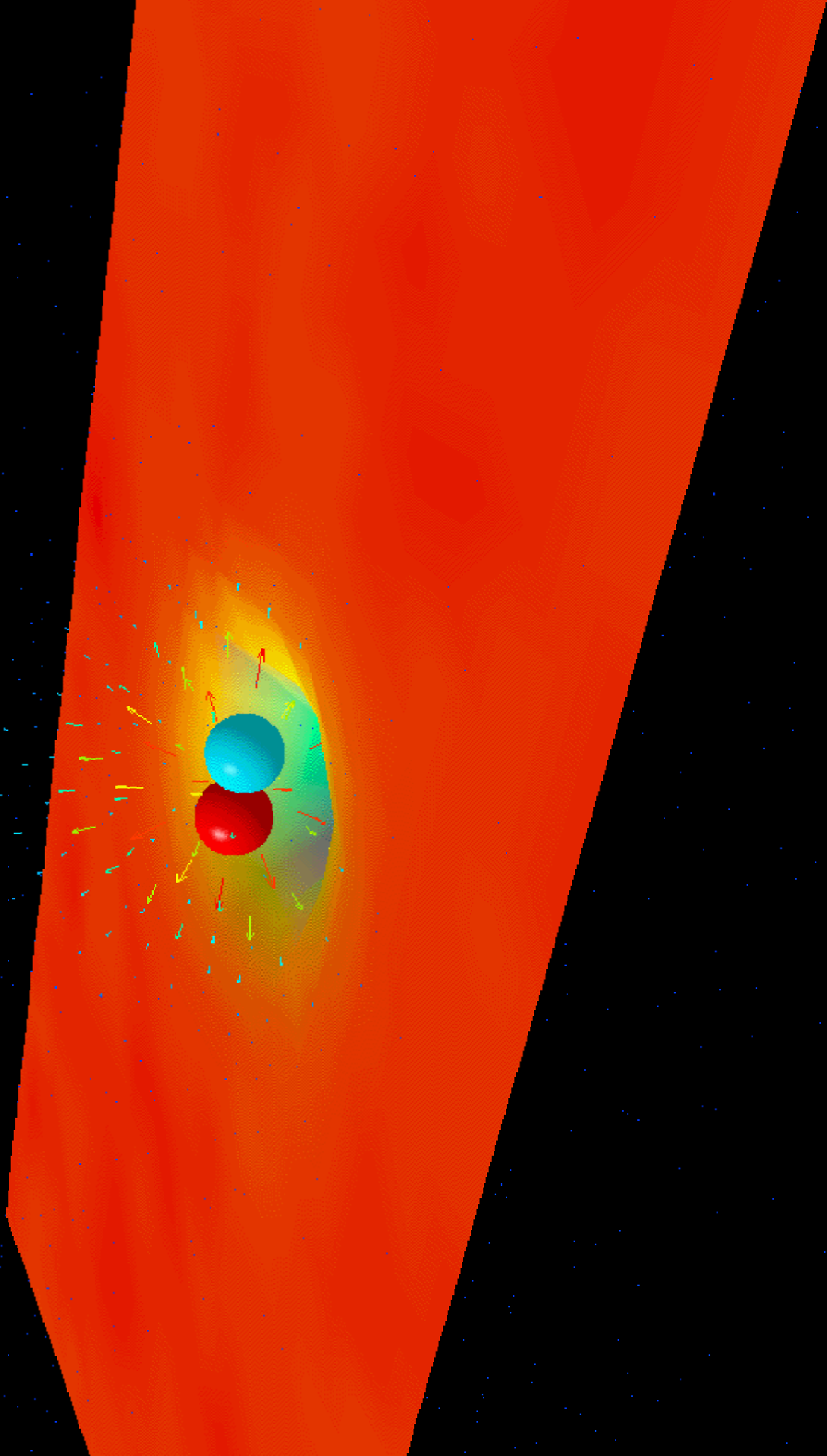
“But Gluon Act with Opposite Sign!”



QCD (anti-)screening



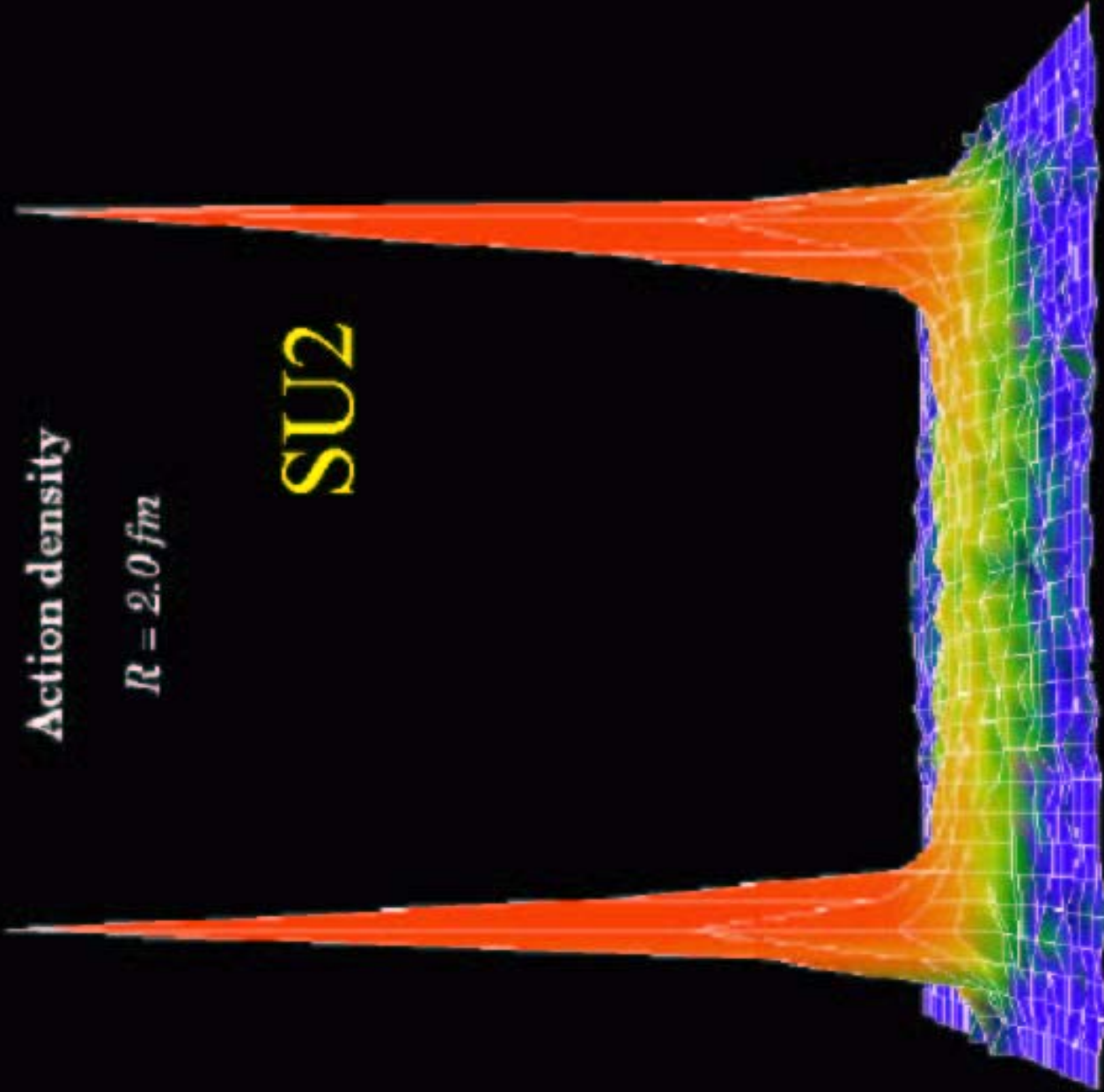
$$\frac{1}{g_{eff}^2} \approx \left[ \frac{11N}{6} - \frac{n_f}{3} \right] \times \log\left(\frac{1}{M\Delta X}\right)$$



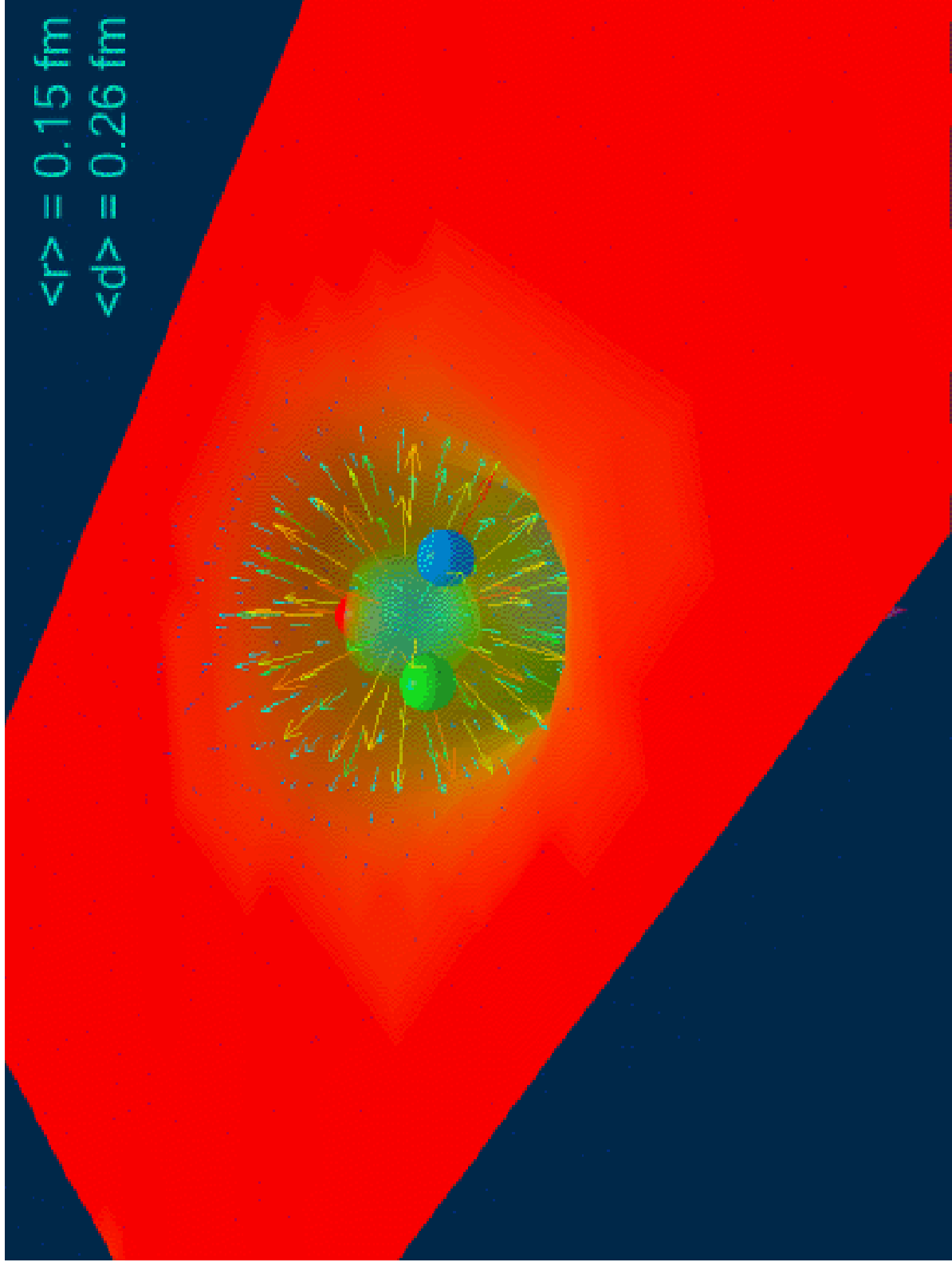
Action density

$R = 2.0 \text{ fm}$

SU2

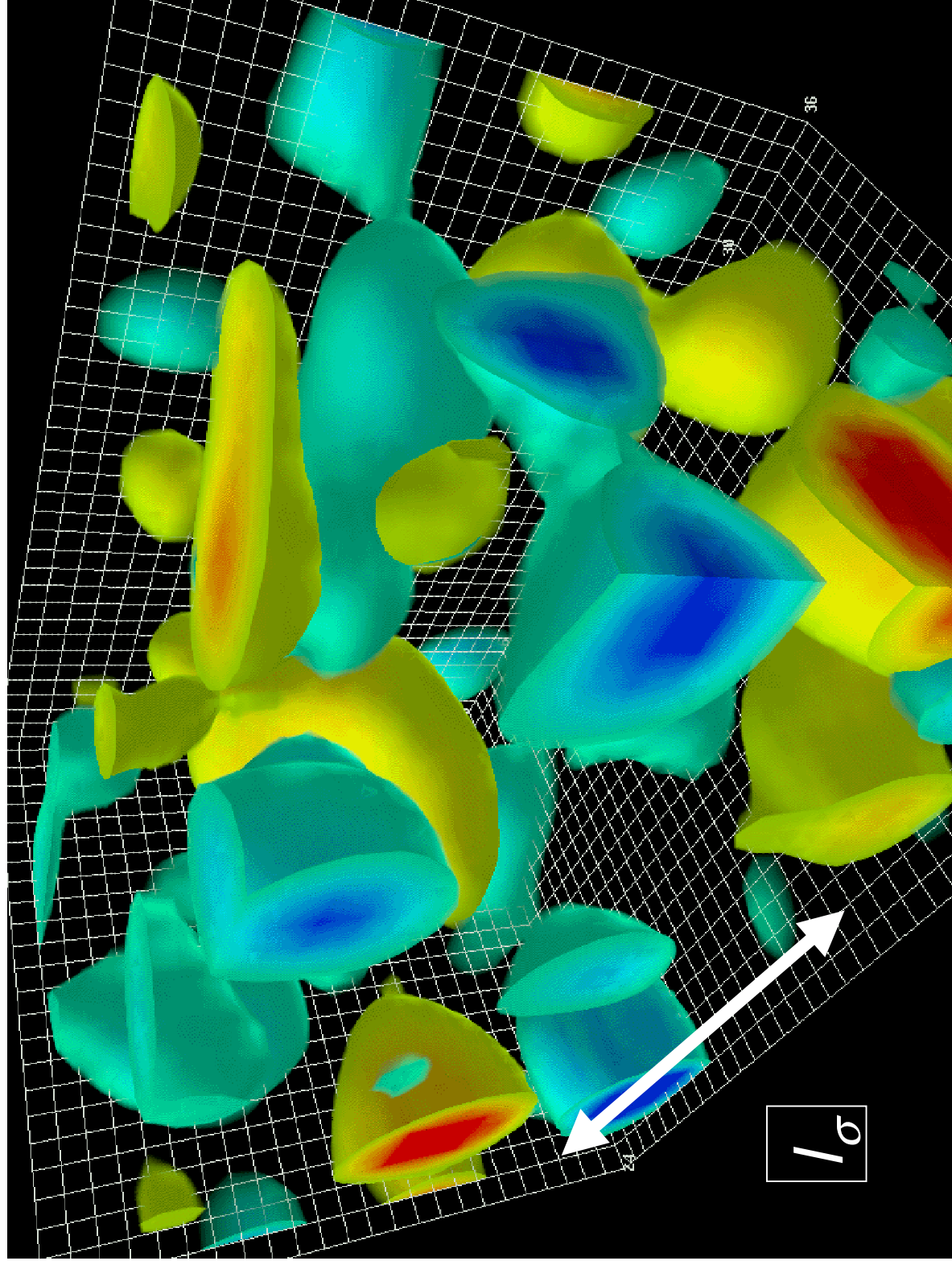


# 3 Color $\rightarrow$ 3 quarks in Proton

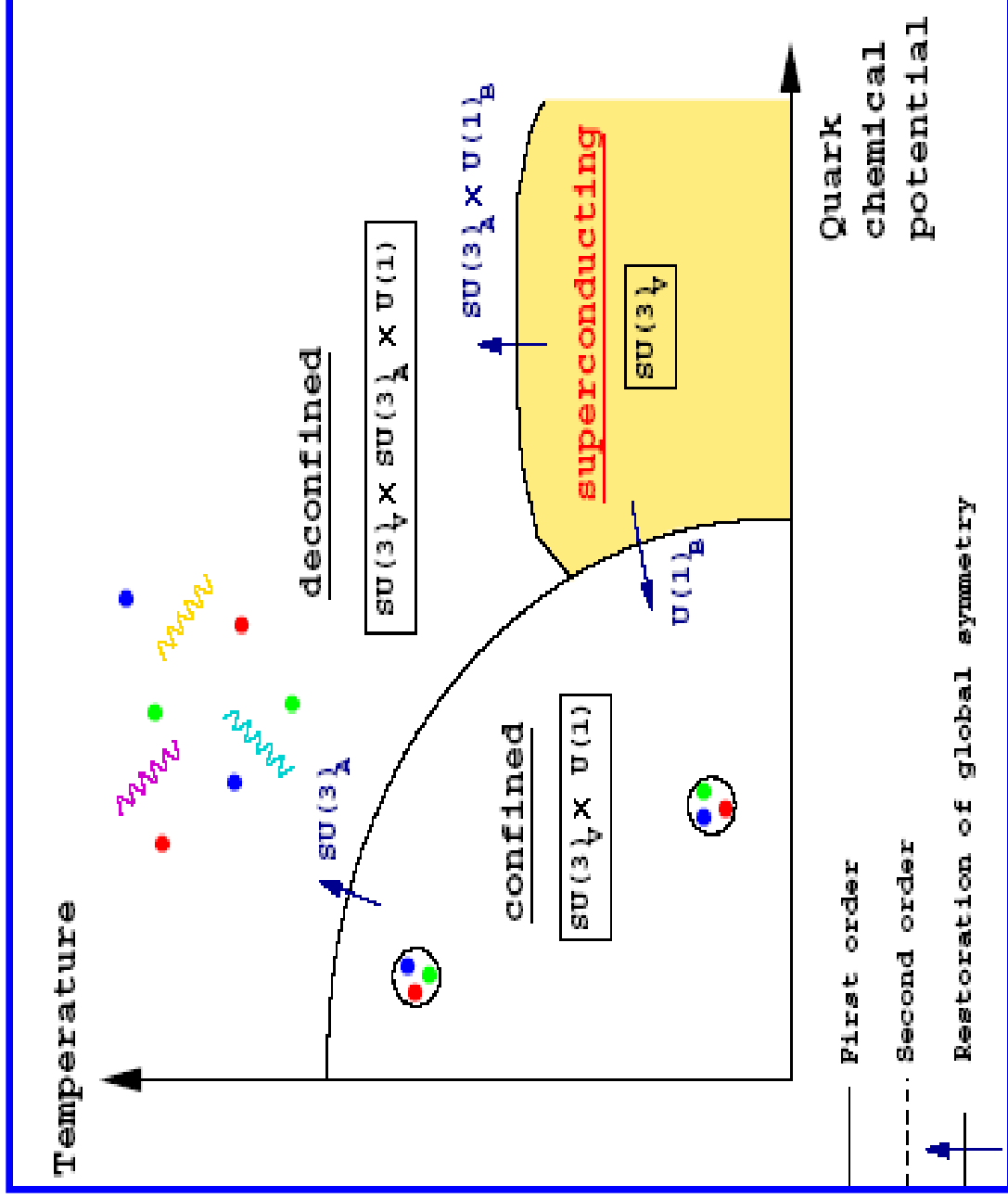




# Instantons, Topological Zero Modes (Atiyah-Singer index) and Confinement length $l_\sigma$



# QCD Plasma Physics



# QCD: Theory of Nuclear Force

Partition function

Anti-quark

quark

Gauge (Glue)

$$= \int d\bar{\Psi}(x)d\Psi(x)dA_{\mu}(x) \quad [\text{Probability Density}]$$

$$= \int d\bar{\Psi}(x)d\Psi(x)dA_{\mu}(x) \quad \exp\left[-\int d^4x \bar{\Psi}D\Psi - \frac{1}{g^2} \int d^4x F^2\right]$$

$$= \int dA_{\mu}(x) \quad \text{Det}[D] \quad \exp\left[-\frac{1}{g^2} \int d^4x F^2\right]$$

Dirac  
Operator

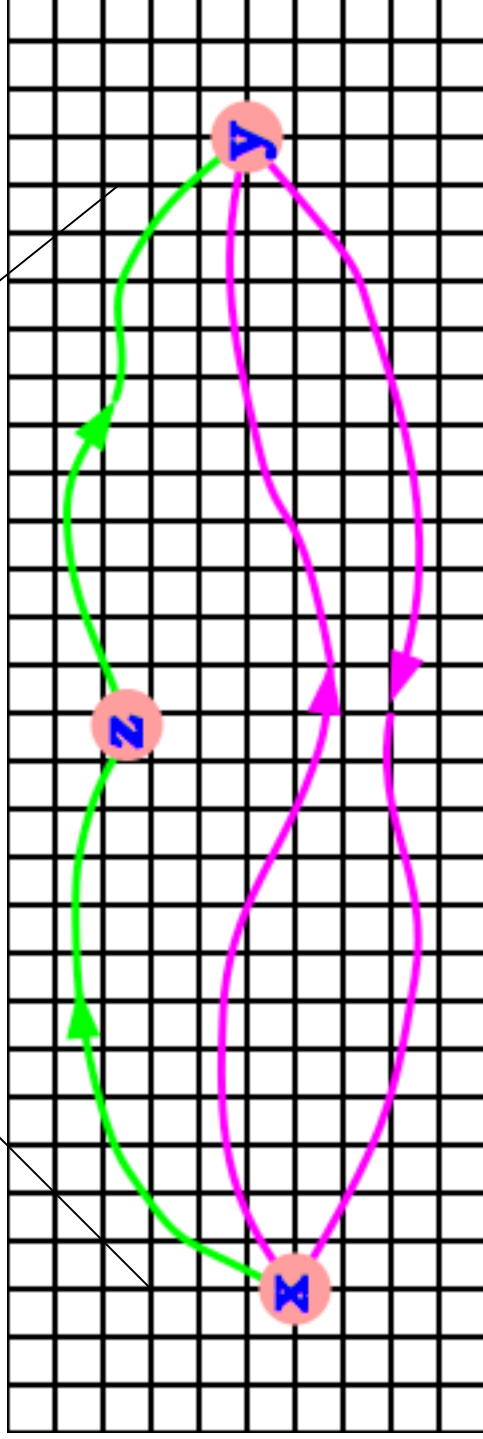
Maxwell (Curl)

# QCD Lattice Measurement

$$\int dU_\mu d\bar{\Psi} d\Psi [ \Psi(x)^3 \bar{\Psi}(z)\gamma_\mu\Psi(x) \bar{\Psi}(y)^3 ] e^{-S} =$$

$$\int dU_\mu \text{Det}[D] [ D^{-1}(x,y)D^{-1}(x,y) D^{-1}(x,z)\gamma_\mu D^{-1}(z,y) ] e^{-S} +$$

$$\int dU_\mu \text{Det}[D] [ D^{-1}(x,y)D^{-1}(x,y)D^{-1}(x,y) D^{-1}(x,y) \text{Tr}[\gamma_\mu D^{-1}(z,z) ] e^{-S}$$



# Outline

- Maxwell Equations
  1. 2-d, 3-d & 4-d curl -- continuum vs lattice
  2. Moving a charge
  3. Dirac Electron
- Repeat Maxwell 1-2-3 for SU(3) matrices
- Exp[- Action] & Quantum Probabilities in Space-time
- Topology and the (near) null space
- Linear Algebra Problems
  1. Solve  $Ax = b$   $x = A^{-1}b$
  2. Find  $\text{Trace}[A^{-1}]$
  3. Find  $\text{Det}[A] = \exp[\text{Tr} \log A]$

# 4 Maxwell Equations

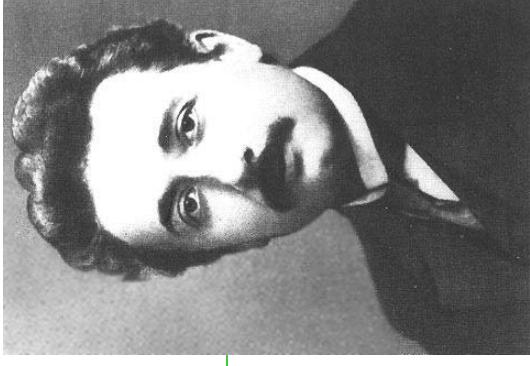


# Really only One!

$$\text{Maxwell's Equ: } \partial_{\mu} F_{\mu\nu} = J_{\nu}$$



# 100 Years Ago



## □ Maxwell (E&M)

$$\nabla \cdot \mathbf{E} = \rho, \quad \nabla \cdot \mathbf{B} = 0,$$

$$\nabla \times \mathbf{E} = -\mathbf{J}, \quad \nabla \times \mathbf{B} = \mathbf{J}$$

## □ Relativity + Quantum Mechanics

Set  $c = 1$  so one unit of time  $m = E = p = 1/x = 1/t$

No scale  $x \neq \lambda x$

## □ Potential: $E = -e^2/r$

$$e^2/4 \pi \epsilon_0 \hbar^2 c^2$$



## 3-d Maxwell: $B(x_1, x_2, x_3)$

Replace  $\vec{\nabla} \cdot \vec{B} = 0$  and  $\vec{\nabla} \times \vec{B} = \vec{J}$



$$\vec{B} = \vec{\nabla} \times \vec{A} \quad \text{and} \quad -\vec{\nabla}^2 \vec{A} + \vec{\nabla}(\vec{\nabla} \cdot \vec{A}) = \vec{J}$$

Should use anti-symmetric tensor:

$$F_{ij} \equiv \begin{bmatrix} 0 & B_3 & -B_2 \\ -B_3 & 0 & B_1 \\ B_2 & -B_1 & 0 \end{bmatrix} = \frac{\partial}{\partial x_i} A_j(x_1, x_2, x_3) - \frac{\partial}{\partial x_j} A_i(x_1, x_2, x_3)$$

Note:  $d(d-1)/2 = d$  for  $d=3$

Only case where anti-sym  $d \times d$  matrices looks like a (pseudo) vector

4-d Maxwell:  $\mathbf{E}(x_0, x_1, x_2, x_4)$  &  $\mathbf{B}(x_0, x_1, x_2, x_3)$

$$F_{\mu\nu} = \begin{bmatrix} 0 & E_1 & E_2 & E_3 \\ -E_1 & 0 & B_3 & -B_2 \\ -E_2 & -B_3 & 0 & B_1 \\ -E_3 & B_2 & -B_1 & 0 \end{bmatrix} = \frac{\partial}{\partial x_\mu} A_\nu(x) - \frac{\partial}{\partial x_\nu} A_\mu(x)$$

$$\text{or } F_{\mu\nu} = i \left[ \frac{\partial}{\partial x_\mu} - iA_\mu(x), \frac{\partial}{\partial x_\nu} - iA_\nu(x) \right]$$

Lagrangian Density:

$$\frac{1}{4} \sum_{\mu,\nu} F_{\mu\nu} F^{\mu\nu} = \frac{1}{2} (\vec{E}^2 - \vec{B}^2) = \frac{1}{2} \partial_\mu \vec{A} \cdot \partial_\mu \vec{A} - \frac{1}{2} (\vec{\nabla} \times \vec{A})^2$$

Now  $d(d-1)/2 = 4*3/2 = 6$  elements!

# Quiz: What is F in 2-d?

$$F_{ij} \equiv \begin{bmatrix} 0 & B_3 \\ -B_3 & 0 \end{bmatrix} = \frac{\partial}{\partial x_i} A_j(x_1, x_2) - \frac{\partial}{\partial x_j} A_i(x_1, x_2)$$

Relativistic Notation:  $\mu, \nu = 0, 1, 2, 3$

$x = x_\mu = (x_0, x_1, x_2, x_3)$   $x_0 =$  "time"; usually imaginary time!

$$\partial_\mu A_\nu(x) = \frac{\partial}{\partial x_\mu} A_\nu(x), \text{ etc.}$$

General expression uses differential form:

$$A = A_1 dx^1 \quad F = dA = F_{10} dx^1 \wedge dx^0 \quad \mathcal{E} dx^0$$
$$) \quad dF = 0 \quad \& \quad d^*F = J$$

## Covariant Derivative, Gauge invariance and all that!

$$\frac{\partial}{\partial x_\mu} \phi(x) \rightarrow \left[ \frac{\partial}{\partial x_\mu} - iA_\mu(x) \right] \phi(x)$$

Now derivative commutes with phase rotation:

$$\phi(x) \rightarrow e^{i\theta(x)} \phi(x) \quad A_\mu(x) \rightarrow A_\mu^\theta(x) + \partial_\mu \theta(x)$$

implies

$$\left[ \frac{\partial}{\partial x_\mu} - iA_\mu(x) \right] \phi(x) \rightarrow e^{i\theta(x)} \left[ \frac{\partial}{\partial x_\mu} - iA_\mu(x) \right] \phi(x)$$

Lagrangian is invariant

$$\mathcal{L} = \frac{1}{4e^2} \sum_{\mu, \nu} F_{\mu\nu} F^{\mu\nu} + \frac{1}{2} ([\partial_\mu - iA_\mu] \phi(x))^* [\partial_\mu - iA_\mu] \phi(x) + \frac{1}{2} m^2 \phi^*(x) \phi(x)$$

# Finite difference for a lattice

$$x \quad \circ \xrightarrow{\quad} \circ \quad x + a^1 = x_1$$

Finite difference:

$$\frac{\partial \phi(x)}{\partial x_\mu} \rightarrow \Delta_\mu \phi(x) = \frac{\phi(x + a\mu) - \phi(x)}{a}$$

With Gauge field replace:  $\Delta_\mu \phi(x) = \frac{e^{i \int_{x+a\mu}^x A_\mu dx_\mu} \phi(x + a\mu) - \phi(x)}{a}$

The new factor is **covariant constant**.

$$\left[ \frac{\partial}{\partial x_\mu} - i A_\mu(x) \right] e^{i \int_{x_1}^x A_\mu dx_\mu} = 0$$

This is the Lattice Gauge link:  $U(x, x + a\mu) = e^{iaA_\mu(x)}$

# The Dirac PDE (for Quarks)

$$\sum_{\mu=1}^4 \gamma_{\mu}^{ij} \left[ \frac{\partial}{\partial x_{\mu}} - i A_{\mu}^{ab}(x) \right] \psi_{jb}(x) + m \psi_{ia}(x) = b_{ia}(x)$$

4x4 sparse spin matrices:  
4 non-zero entries 1, -1, i, -i

3x3 color gauge matrices

$x_{\mu} = (x_1, x_2, x_3, x_4)$   
(space, time)

**On a Hypercubic Lattice** ( $x_{\mu}$  = integer,  $a$  = lattice spacing):

$$\sum_{\pm\mu} \frac{\pm\gamma_{\mu} - 1}{2} U(x, x \pm \mu) \psi(x \pm \mu) + (am + 4) \psi(x) = b(x)$$

3x3 Unitary:  $U(x, x+\mu) = \exp[i a A_{\mu}(x)]$  and  $U(x, x-\mu) = U^{\dagger}(x-\mu, x)$

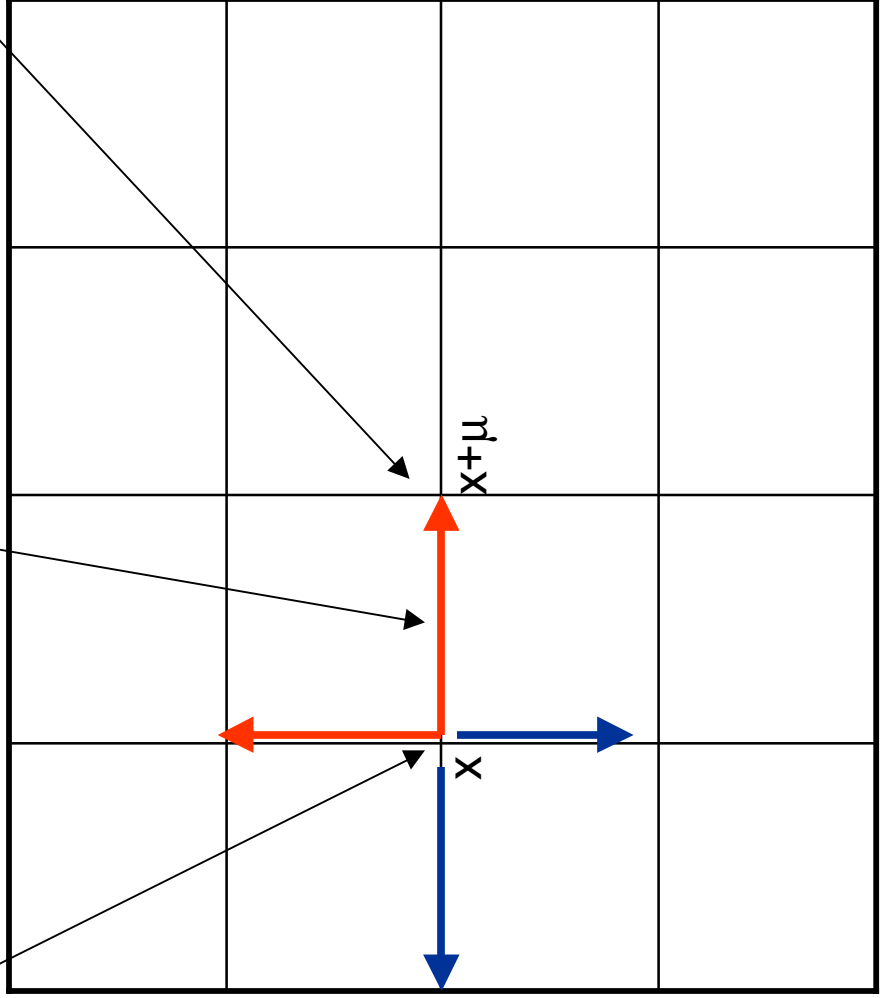
# Put Dirac PDE on hypercubic Lattice

Projection Op

$$\frac{1 - \gamma_{\mu}^{ij}}{2}$$

$$\bar{\Psi}_{ia}(x)$$

$$U_{\mu}^{ab}(x) \Psi_{jb}(x + \mu)$$



Dimension:  
 $\mu=1,2,\dots,d$

Color  
 $a = 1,2,3$

Spin  
 $i = 1,2,3,4$

$x_2$  axis  $\leftarrow$

$x_1$  axis  $\rightarrow$

# Symmetries of Dirac Equ: $D \psi = b$

$$\frac{1}{2} \sum_{\pm\mu} [\pm\gamma_\mu - 1] U(x, x \pm \mu) \psi(x \pm \mu) + (am + 4) \psi(x) = b(x)$$

□ **Hermiticity:**  $\gamma_5 D \gamma_5 = D^\dagger$

$$\gamma_5^2 = 1 \text{ and } \gamma_5 = \gamma_1 \gamma_2 \gamma_3 \gamma_4$$

□ **Gauge :**  $U(x, x+\mu) \rightarrow \Omega_x U(x, x+\mu) \Omega_{x+\mu}^\dagger$   
are unitary transformations of A

□ **Chiral:**  $D = \exp[i \gamma_5 \theta] D \exp[i \gamma_5 \theta]$  at  $m=0$   
(On Lattice use New Operator:  $D = 1 + \gamma_5 \text{ sign}[\gamma_5 D]$ )

□ **Scale:** Only quantum fluctuations break scaling at  $m=0$ .  
The breaking is “confinement length”  $l_\sigma(\beta)$



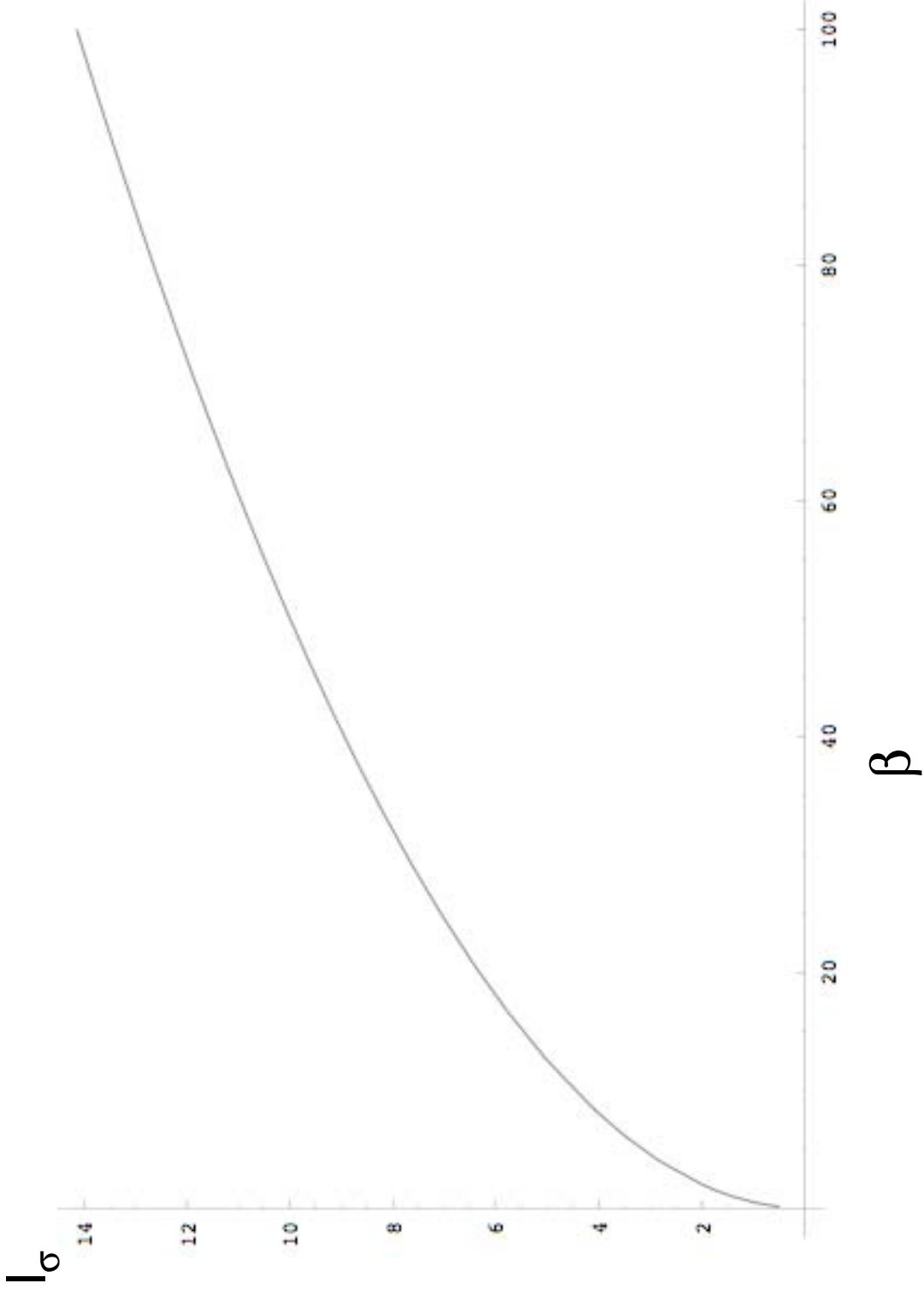
Solve  $D^a = b$

# 2-d Toy Problem: Schwinger Model

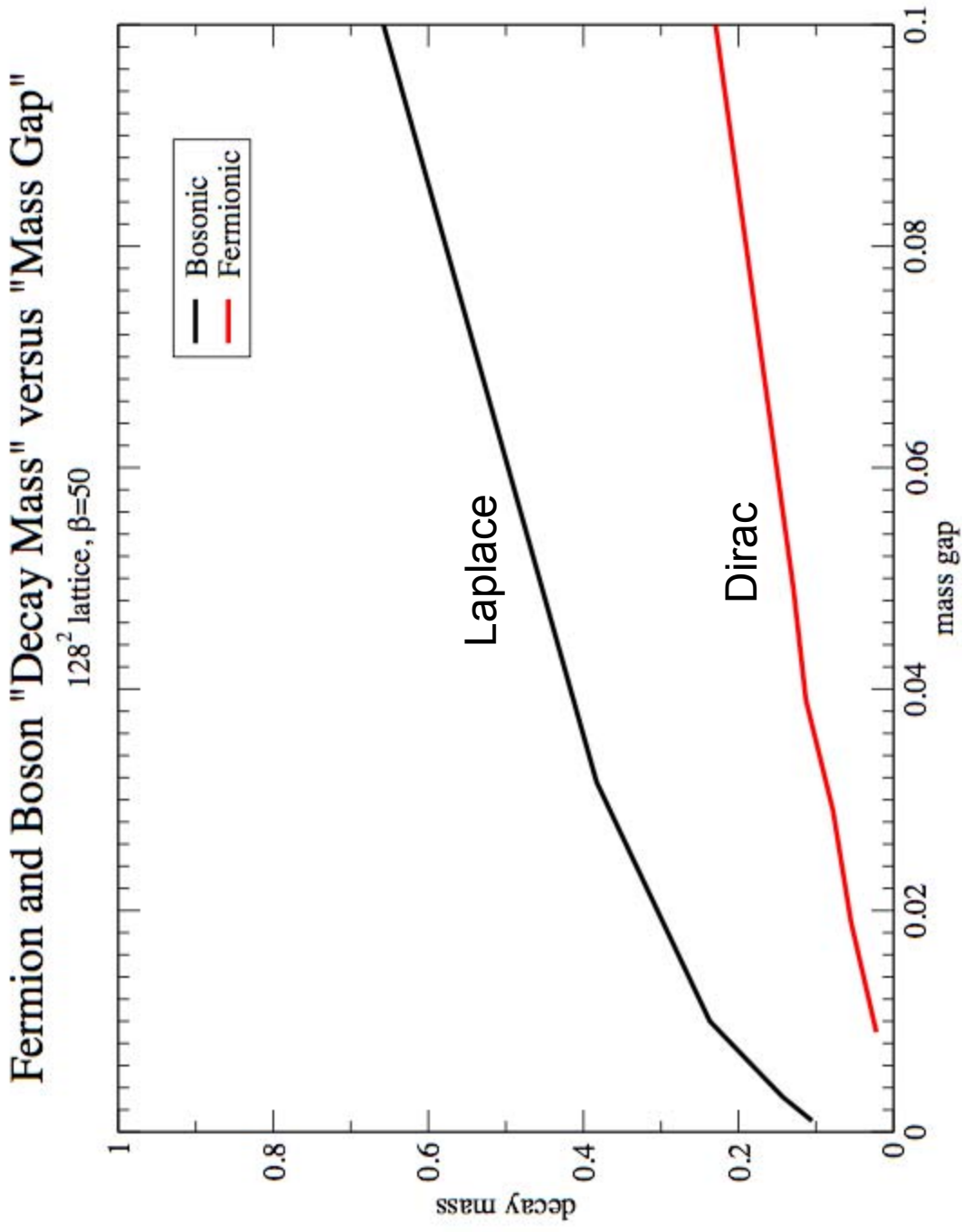
- Space time is 2-d
- Gauge links are E&M
  - $U(x, x+\mu) = \exp[i e A_\mu(x)]$
  - Instanton ) vortex
- Dirac fields has 2 spins (not 4)
- Operator is quaternionic (Pauli) matrix  $\sigma_1, \sigma_2$

$$\sum_{\mu=\pm 1, \pm 2} \frac{\pm \sigma_\mu - 1}{2} U(x, x \pm \mu) \psi(x \pm \mu) + (am + 2) \psi(x) = b(x)$$

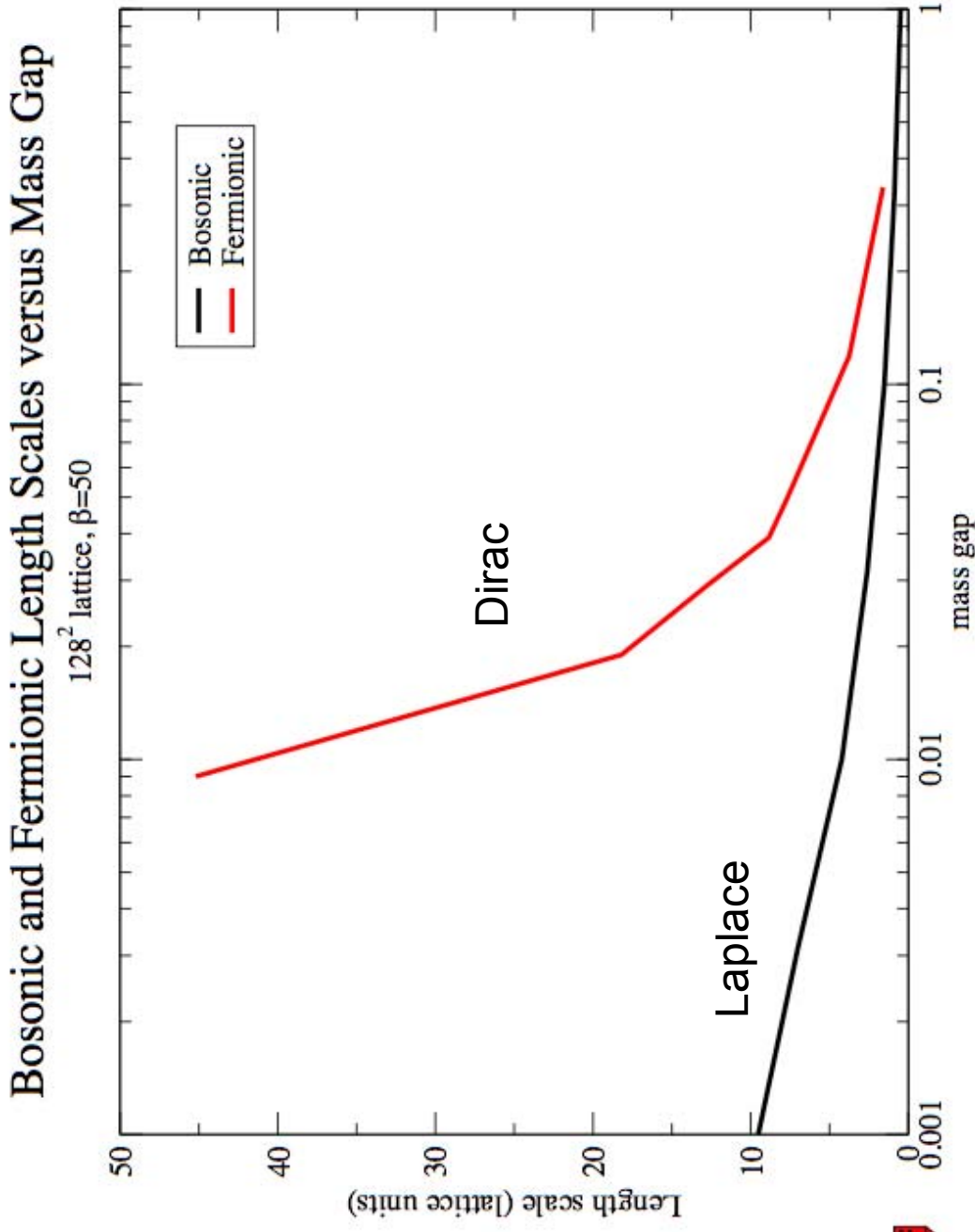
# U(1) Gauge length scale



# Correlation Mass vs Mass Gap (e.v)



# Correlation Length vs Mass Gap (e.v)



# Gauge Invariant Projective Multigrid

- Multigrid Scaling (  $a \rightarrow 2a$  ) ---- aka “renormalization group” in QCD
- Map should (must?) preserve long distance **spectrum** and **symmetries**.
- Operators  $P(x_C, x_F)$  &  $Q(x_F, x_C)$  should be “square” in spin / color space!
- **Use Projective MG (aka Spectral AMG !)**

- Galerkin Example

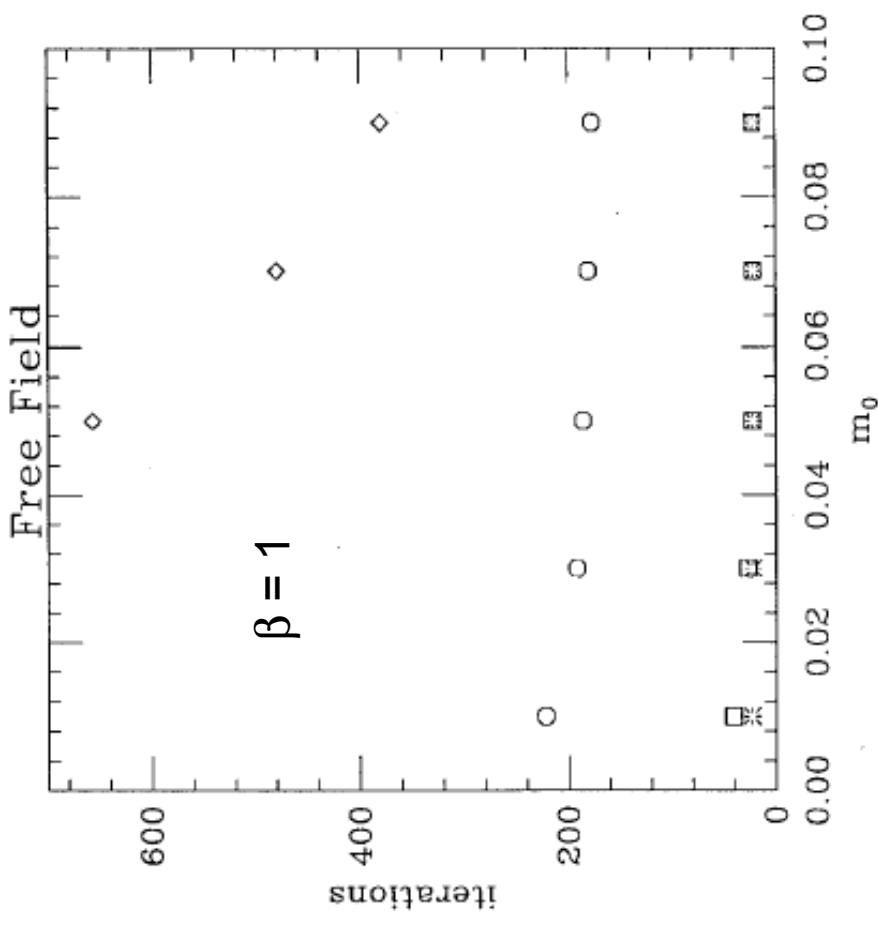
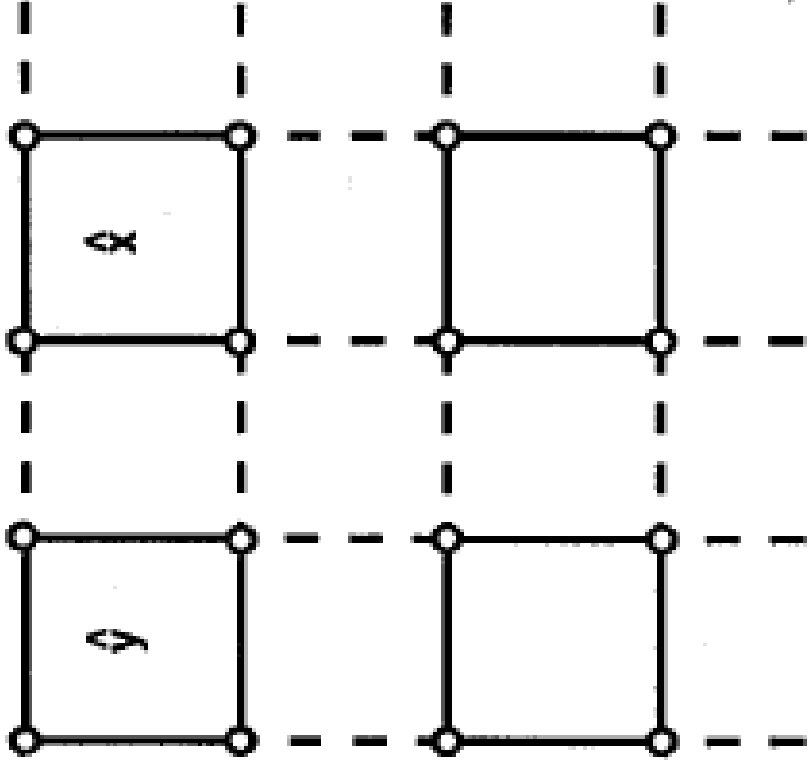
$$A_{CC} = P A_{FF} Q \rightarrow A_{x_C, y_C} = P(x_C, x_F) A_{x_C, y_C} Q(y_C, y_F)$$

$\gamma_5$  Hermiticity constraint:  $\gamma_5 Q \gamma_5 = P \gamma$

BOTTOM LINE: I can design “covariant” BLACK BOX minimization methods that **automatically** preserve all (Hermitian, gauge, chiral, scale) symmetries.

$\gamma$  R. C. Brower, R. Edwards, C.Rebbi, and E. Vicari,  
“Projective multigrid for Wilson fermions”, Nucl. Phys.B366 (1991) 689

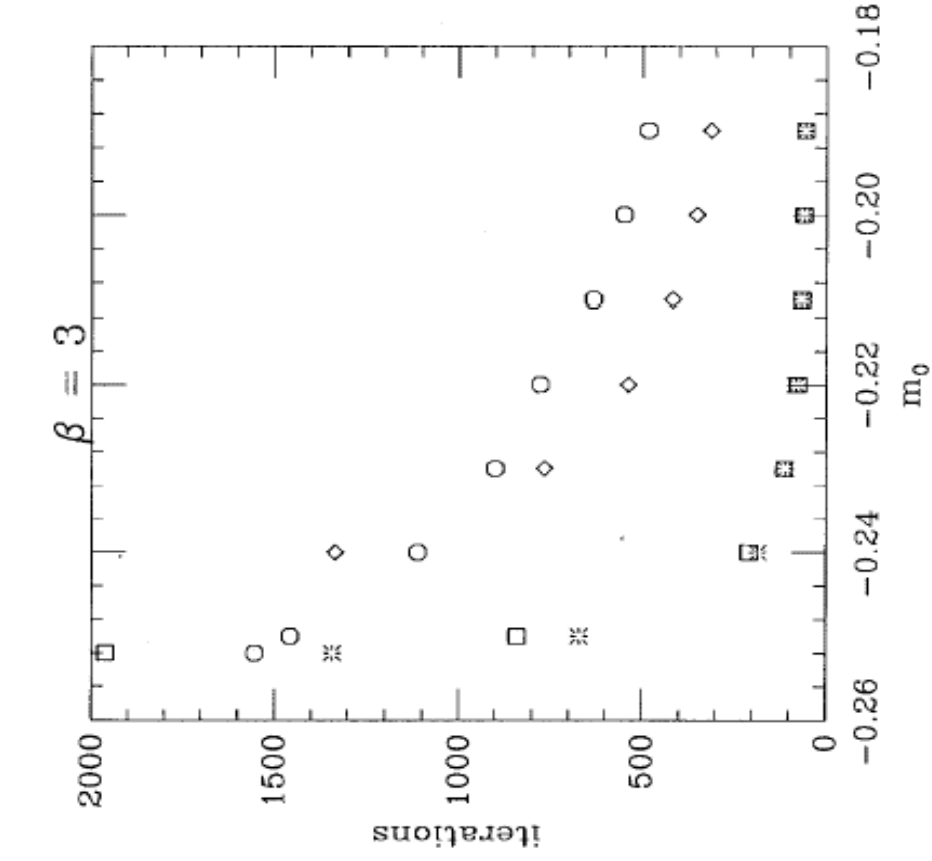
# 2x2 Blocks for U(1) Dirac



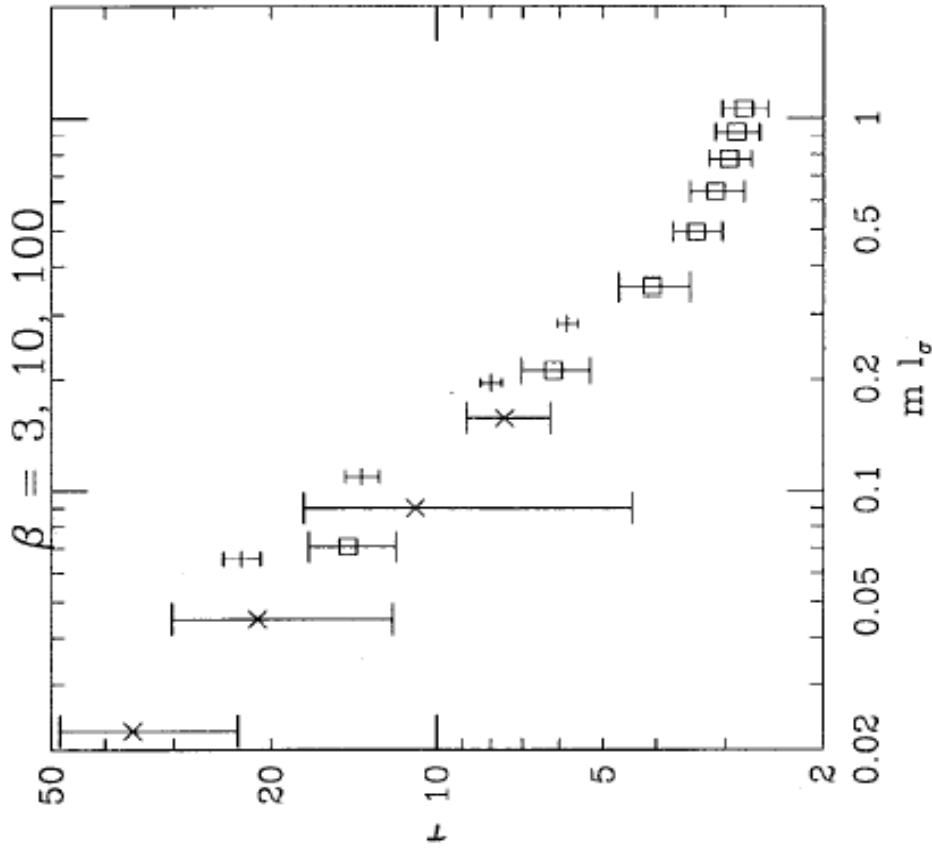
2-d Lattice,  
 $U_\mu(x)$  on links  $\Psi(x)$  on sites

Gauss-Jacobi (Diamond), CG (circle),  
V cycle (square), W cycle (star)

# Universal Autocorrelation: $\tau = F(m l_\sigma)$



Gauss-Jacobi (Diamond), CG(circle),  
3 level (square & star)



$\beta = 3$  (cross) 10(plus) 100( square)



**Trace[ (sparse)  $D^{-1}$ ]**

**Q: How to take a Trace?**  
**A: Pseudo Fermion Monte Carlo**

$$\text{Tr}[\mathcal{O}D^{-1}] \sim \int d\phi \quad [D^\dagger \mathcal{O}]_{xy} \phi_y \phi_x^* \quad e^{-\phi_x^* [D^\dagger D]_{xy} \phi_y}$$

- Can do “standard” Monte Carlo with low eigenvalue subtraction on  $H = \gamma_5 D$
- Or “perfect” Monte Carlo – Gaussian  $\eta_x$

choose  $\eta_x \in \text{Prob}[\eta] \sim e^{-\eta_x^* \eta_x}$  solve  $\phi = D^{-1} \eta$

# Standard Deviation

$$A(\eta) = \eta_x^* A_{xy} \eta_y \Rightarrow \text{Tr}[A] \simeq \overline{A} \pm \frac{\sigma}{\sqrt{N_{\text{sample}}}}$$

where  $\langle A(\eta) \rangle_{\eta} = \overline{A}$

---

Gaussian Noise:  $\sigma_{\text{Gaussian}}^2 = \overline{A^2} - \overline{A}^2 = \sum_{xy} A_{xy}^* A_{xy}$

---

Z<sub>2</sub> Noise:  $\sigma_{Z_2}^2 = \sum_{x \neq y} A_{xy}^* A_{xy}$

(with  $\eta_x = (\pm 1 \pm i) / \sqrt{2} \rightarrow \eta_x^* \eta_x = 1$ )

# Multi-grid Trace Project

Brannick, Brower, Clark, Fleming, Osborn, Rebbi

Everything can work together

*BUT it is **not** Simple to design pre-conditioner and code efficiently!*

- MG Speed up Inverse
- Amortize Pre-conditioner with multiple RHS.
- MG variance reduction at long distances.
- Unbiased subtraction at short distance.
- Low eigenvalue projection.
- Dilution.

$$\text{Det}[D] = e^{\text{Tr}[\text{Log}(D)]}$$

# Conclusions

- Dirac Operator:
  - **Symmetries** (gauge, chiral and scale) and **topology** constrain the spectral properties.
  - **Intrinsic quantum length scale**  $l_G$  independent of the gap **m**
  - **Generalize to lattice Chiral** : 5-d solutions to

$$D = m + 1 + \gamma_5 \text{sign}[\gamma_5 A]$$

- **Positive feedback**: *The better algorithms allow finer lattice with better multiscale performance!*
- The future for multiscale algorithms in QCD is very **bright**.